

01-04-02 A

11/08/01
JC682
U.S. PTO

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004860.P2667
(maximum 12 characters)

First Named Inventor Steven P. Jobs, et. al.

Title: COMPUTER CONTROLLED DISPLAY DEVICE

Express Mail Label No. EL627465962US

J1050 U.S. PTO 10/03 5417
11/08/01

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 145)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 80)
5. Oath or Declaration (Total Pages 7)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

11. b. Power of Attorney

12. English Translation Document (if applicable)

13. a. Information Disclosure Statement (IDS)/PTO-1449

14. b. Copies of IDS Citations

15. **Preliminary Amendment**

16. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

17. Certified Copy of Priority Document(s) (if foreign priority is claimed)

18. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

19. Other: Copy of postcard with express mail label and certificate of mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
 Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
 (which is a continuation/ divisional/ CIP of prior application no. _____)
 which is a continuation/ divisional/ CIP of prior application no. _____ (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____ (Insert Customer No. or Attach Bar Code Label here)
 or

Correspondence Address Below

NAME James C. Scheller

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): James C. Scheller Registration No.: 31,195
 Signature: J. C. Scheller Date: 11/6/2001

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 4,520.00

Complete if Known:

Application No. Not Yet AssignedFiling Date HerewithFirst Named Inventor Steven P. Jobs, et al.Group Art Unit UnassignedExaminer Name UnassignedAttorney Docket No. 004860.P2667

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
		Utility application filing fee	<u>740.00</u>
		Design application filing fee	<u></u>
		Plant filing fee	<u></u>
		Reissue filing fee	<u></u>
		Provisional application filing fee	<u></u>
SUBTOTAL (1) \$ 740.00			

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>188</u>	$- 20^{**} = 168$	<u>X 18.00</u>	<u>= 3024.00</u>
Independent Claims <u>12</u>	$- 3^{**} = 9$	<u>X 84.00</u>	<u>= 756.00</u>
Multiple Dependent			<u>=</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	Claims in excess of 20
103	18	203	9	Independent claims in excess of 3
102	84	202	42	Multiple dependent claim, if not paid
104	280	204	140	**Reissue independent claims over original patent
109	84	209	42	**Reissue claims in excess of 20 and over original patent
110	18	210	9	

SUBTOTAL (2) \$ 3780.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>			<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee			
Code	(\$)	Code	(\$)			
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for ex parte reexamination	
099	8,800	099	8,800		Request for inter parties reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	400	216	200		Extension for reply within second month	
117	920	217	460		Extension for reply within third month	
118	1,440	218	720		Extension for reply within fourth month	
128	1,960	228	980		Extension for reply within fifth month	
119	320	219	160		Notice of Appeal	
120	320	220	160		Filing a brief in support of an appeal	
121	280	221	140		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive – unavoidable	
141	1,280	241	640		Petition to revive - unintentional	
142	1,280	242	640		Utility issue fee (or reissue)	
143	460	243	230		Design issue fee	
144	620	244	310		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Processing fee under 37 CFR 1.17(q)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	740	246	370		For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55		Statutory Disclaimer	
149	740	249	370		For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	
195	300	195	300		Publication fee for early, voluntary, or normal pub.	
196	300	196	300		Publication fee for republication	
194	130	194	130		Request for voluntary publication or republication	
098	130	098	130		Processing fee under 37 CFR 1.17(l) (except provisionals)	
091	1,280	091	1,280		Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller

Signature: J. Scheller Date: 11/8/2001

Reg. Number: 31,195 Telephone Number: (408) 720-8300

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 627 465 962 US

Date of Deposit: November 8, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Deveny Kehoe Shea
(Type or printed name of person mailing paper or fee)

Deveny Kehoe Shea
(Signature of person mailing paper or fee)

November 8, 2001

(Date signed)

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: Herewith
Client: Apple Computer, Inc.
Title: COMPUTER CONTROLLED DISPLAY DEVICE

BSTZ File No.: 004860, P2667

Date Mailed: November 8, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility (145 pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(b) Divisional (____ pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing
- Declaration & POA (7 pgs.) (unsigned)
- Disclosure Docs & Org & Copy of Inventor's Signed Letter (____ pgs.)
- Drawings: 80 # of sheets includes 126 figures

Atty/Secty Initials: JCS/JET/mmp/bks

Docket Due Date: ***

- Express Mail No. EL627465962US Check No. 45928
- _____ Month(s) Extension of Time Amt: 4520.00
- Information Disclosure Statement & PTO-149 (____ pgs.) Check No. _____
- Issue Fee Transmittal Amt: _____
- Notice of Appeal Amt: _____
- Petition for Extension of Time Amt: _____
- Petition for _____ Amt: _____
- Postcard
- Power of Attorney (____ pgs.)
- Preliminary Amendment (____ pgs.)
- Reply Brief (____ pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate
- Fee Transmittal, in duplicate

- Other: Copy of postcard with express mail number and certificate of mailing.